

Customer Number: 000959  
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6-18-96  
N.Y.C.

**DIVISIONAL CONTINUATION APPLICATION TRANSMITTAL FORM  
UNDER RULE 1.53(b) (former Rule 1.60)**

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICATION SERIAL NUMBER: 08/175,158	PRIOR APPLICATION FILING DATE: DECEMBER 28, 1993
UVI-005CP2CN	CLASS:	SUBCLASS:	EXAMINER: G. BUGAISKY	ART UNIT: 1653

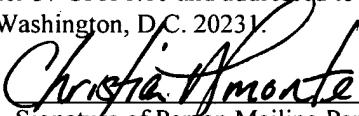
ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
WASHINGTON, DC 20231

**CERTIFICATION UNDER 37 CFR 1.10**

Date of Deposit: November 15, 1999 Mailing Label Number: EL 373 306 687 US

I hereby certify that this 37 CFR 1.53(b) request and the documents referred to as attached therein are being deposited with the United States Postal Service on the date indicated above in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 and addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Christian Almonte  
Name of Person Mailing Paper

  
Signature of Person Mailing Paper

Dear Sir:

This is a request for filing a  continuation  divisional application under 37 CFR 1.53(b), of pending prior application serial no. 08/175,158 filed on December 28, 1993, of Walter D. Funk et al. entitled Recombinant Transferrins, Transferrin Half-Molecules and Mutants Thereof which is a continuation-in-part application of serial no. 07/832,029 filed February 6, 1992 which, in turn, is a continuation-in-part application of serial no. 07/652,869 filed February 8, 1991.

1.  Enclosed is a copy of the latest inventor signed application, including the oath or declaration as originally filed. The copy of the enclosed papers is as follows:

31 page(s) of specification (including 9 pages of sequence listing)  
 4 page(s) of claims  
 1 page(s) of abstract  
 8 sheet(s) of informal drawings  
 15 page(s) of an *executed* declaration and power of attorney.

I hereby verify that the attached papers are a true copy of the prior complete application serial no. 08/175,168 filed on December 28, 1993.

2.  Two verified statements to establish small entity status under 37 CFR 1.9 and 1.27, copies of which are enclosed, was filed in the prior application and such status is still proper and desired (37 CFR 1.28(a)).  
 3.  The filing fee is calculated below:

	NUMBER OF CLAIMS FILED			NUMBER EXTRA
TOTAL	* 26	MINUS	** 20	= 6
INDEP.	* 8	MINUS	*** 3	= 5
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS				

SMALL ENTITY	
RATE	FEES
x 9 =	\$ 54.00
x 39 =	\$ 195.00
+130 =	\$ .00
BASIC FEE	\$380.00
TOTAL	\$629.00

OR

OTHER THAN A SMALL ENTITY	
RATE	FEES
x 18 =	\$ .00
x 78 =	\$ .00
+ 260 =	\$ .00
BASIC FEE	\$ .00
TOTAL	\$ .00

**4.  FILING FEES ARE NOT BEING PAID AT THIS TIME.**

5.  A check in the amount of \_\_\_\_\_ is enclosed for payment of the filing fee.

6.  Cancel in this application original claims \_\_\_\_\_ of the prior application before calculating the filing fee.  
(At least one original independent claim must be retained for filing purposes.)

7.  A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claims in the prior application.)

8.  Amend the specification by replacing the first sentence of the specification with the following: "This application is a continuation application of serial no. 08/175,168 filed on December 28, 1993, now U.S. patent pending which in turn is a continuation-in-part application of serial no. 07/832,029 filed on February 6, 1992 (now abandoned) and patent application of serial no. 07/652,869 filed February 8, 1991 (now abandoned). The contents of all of the aforementioned applications are hereby incorporated by reference." *A10* *nd 5986067*

9.  Please abandon said prior application as of the filing date accorded this application. A duplicate copy of this transmittal is enclosed for filing in the prior application file. (May be used if signed by person authorized by §1.138 and before payment of base issue fee.)

10.  New informal drawings are enclosed.

11.  Priority of application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §119.

- The certified copy has been filed in prior application serial no. \_\_\_\_\_ filed on \_\_\_\_\_.
- The certified copy will follow.

12.  The prior application is assigned of record to The University of Vermont and State Agricultural College and The University of British Columbia.

13.  A \_\_\_\_\_ month extension of time has been submitted in the parent application Serial No. 08/175,168 in order to establish copendency with the present application.

14.  Enclosed are three *executed* Declaration, Petition and Powers of Attorney as filed on March 14, 1994.

15.  The power of attorney in the prior application is to Lahive & Cockfield, LLP.

- a.  The power appears in the filing papers in the prior application.
- b.  Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- c.  A new power has been executed and is attached.

16.  Address all future communications (May only be completed by applicant, or attorney or agent of record) to Guilio A. DeConti, Jr. at Customer Number: **000959** whose address is:

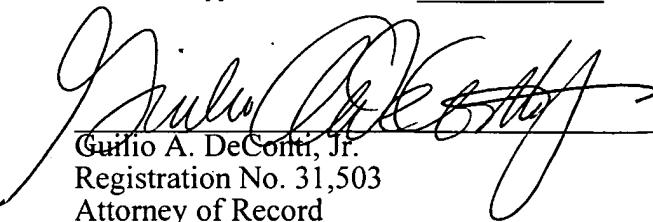
Lahive & Cockfield, LLP  
28 State Street  
Boston, Massachusetts 02109

17.  Any requests for extensions of time necessary in a parent application for establishing copendency between this application and a parent application are hereby requested and the Commissioner is authorized to charge any fee associated with such an extension to Deposit Account No. 12-0080.

18.  Pursuant to 37 CFR 1.821(e), the computer readable form of the sequence listing for this new application is to be identical with the computer readable form of application serial no. 08/175,158. Please use the computer readable form of application serial no. 08/175,158 in lieu of filing a duplicate computer readable form in this application. Pursuant to 37 CFR 1.821(f), the content of the paper copy of the sequence listing for this new application and the computer readable form of application serial no. 08/175,158 are the same.

Dated: **November 15, 1999**

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, Massachusetts 02109  
Tel. (617) 227-7400

  
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Giulio A. DeConti, Jr.  
Registration No. 31,503  
Attorney of Record